



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,059	08/21/2001	Sujit V. Gaikwad	1100.1119101 (H00015110)

CONFIRMATION NO. 7383

FORMALITIES LETTER



OC000000006593697

CROMPTON, SEAGER & TUFTE, LLC
Suite 895
331 Second Avenue South
Minneapolis, MN 55401-2246

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Kosta S. Tsakalis, Gunter Stein
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sujit V. Gaikwad et al.

Serial No.: 09/934,059

Examiner: Unknown

Filed: August 21, 2001

Group Art Unit: 2631

For: AUTO-TUNING CONTROLLER USING LOOP-SHAPING

Docket No.: 1100.1119101 (H0001511)

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of EL901548036US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 10th day of October, 2001.

By _____

Jolene Alger

Dear Sir:

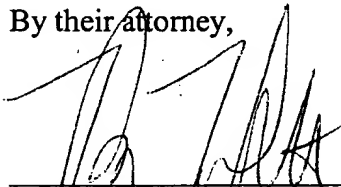
To complete the above-captioned application, please find enclosed a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$130.00. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Sujit V. Gaikwad et al.

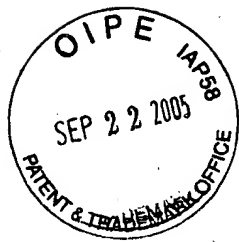
By their attorney,



Dated:

October 10, 2001

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South
Suite 895
Minneapolis, MN 55401
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Phoenix, Arizona

Applicant:	Sujit V. Gaikwad	Group:	2154
Serial No.:	09/934,059	Examiner:	Aaron C. Perez Daple
Filed:	08/21/2001	Atty Docket No.:	1100.1119101(H0001511)
Title:	AUTO -TUNING CONTROLLER USING LOOP-SHAPING		

LETTER

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In The Notice of Allowance, dated 8/17/2005, and received by the Applicants for the above identified Patent Application, it was indicated by the Examiner that a Substitute Oath or Declaration is required to be submitted, because the signature of one of the inventors, Gunter Stein is missing.

In Applicants' response to the Notice of Missing Parts dated September 24, 2001, Applicants' forwarded to the USPTO a Declaration and Power of Attorney that included the signature of inventor Gunter Stein.

Applicants' submit herein copies of the following papers forwarded to the USPTO on October 10, 2001, including the filing of a properly executed Declaration and Power of Attorney for inventor Gunter Stein.

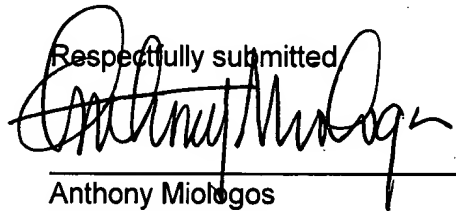
The enclosed papers include:

1. The transmittal sheet indicating the timely mailing of a response to the notice of Missing Parts and proper Fee forwarded to the USPTO;
2. The Applicants' response to Notice to File Missing Parts;
3. The Notice to File Missing Parts, dated 9/24/2001 issued by USPTO;
4. The Declaration and Power of Attorney of inventor Gunter Stein, executed August 21, 2001; and

5. The postcard receipt bearing the stamp of the USPTO showing receipt of these formal papers on October 10, 2001.

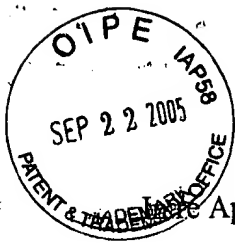
Applicants' believe that a properly executed Declaration was filed with the USPTO on October 10, 2001 and respectfully request that the Examiner except the attached Declaration of Gunter Stein, if such a Declaration is not a part of the official record and withdraw the deficiency.

Respectfully submitted,

 9/15/2005

Anthony Miologos
Reg. No. 29,677
602-313-5683

Date



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sujit V. Gaikwad et al.

Serial No.: 09/934,059

Examiner: Unknown

Filed: August 21, 2001

Group Art Unit: 2631

For: AUTO-TUNING CONTROLLER USING LOOP-SHAPING

Docket No.: 1100.1119101 (H0001511)

TRANSMITTAL SHEET**Box Missing Parts**

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of EL901548036US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 10th day of October, 2001.

By

Jolene Alger

We are transmitting herewith the attached:

- ☐ Amendment
☐ No additional fee required
☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAININ G CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-			x9=	\$	x18=	\$
INDEPEN- DENT CLAIMS				x39=	\$	X78=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	

- [XX] A check in the amount of \$130.00 is enclosed.
- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [XX] Other: Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), Executed Declaration and Power of Attorney, Request for Corrected Filing Receipt, copy of Official Filing Receipt and copy of page 1 of Declaration and Power of Attorney .
- [XXXX] Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 50-0413.

By: _____

Brian N. Tufte

Reg. No. 38,638

Brian N. Tufte
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401
(612) 677-9050



Shudy

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TELEPHONE RECORDS - 410

DATE MAILED: October 10, 2001

SBU: _____

PATENT APPLICATION FOR

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- ☐ A
- ☐ E

This will hereby acknowledge receipt of the following documents by the Assist. Comm. of Patents and Trademarks: TRANSMITTAL SHEET, RESPONSE TO NOTICE TO FILE MISSING PARTS COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, EXECUTED DECLARATION AND POWER OF ATTORNEY, REQUEST FOR CORRECTED FILING RECEIPT, COPY OF OFFICIAL FILING RECEIPT, COPY OF PAGES 1 AND 2 OF DECLARATION AND POWER OF ATTORNEY, AND CHECK IN THE AMOUNT OF \$130.00

with respect to the following:

Applicant: Sujit V. Gaikwad et al.

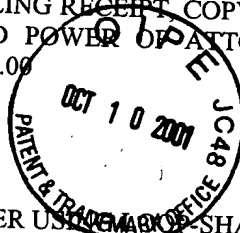
Serial No.: 09/934,059

Filing Date: August 21, 2001

For: AUTO-TUNING CONTROLLER USING MARK OF SHAPING

Docket No.: 1100.1119101 (H0001511) BNT/jma

October 10, 2001 Via Express Mail Label No. EL901548036US



OFFICE

- ☐ Formal Drawing(s); no. of sheets _____
- ☐ Total fees charged to Deposit Account No. 01-1125: \$ _____

OTHER _____

Attorney Docket No.: H0001511

Attorney: Shudy